



RECEIVED Receipt

AUG 22 2001

TECH CENTER 1600/2000

Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002 PTO/SB/21 (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**


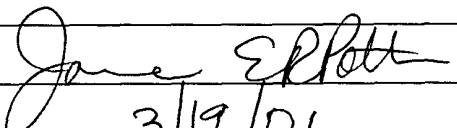
(To be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (To be used for all correspondence after initial filing)	Application Number	09/483,672
	Filing Date	January 14, 2000
	First Named Inventor	Jiangchun Xu
	Group Art Unit	1644
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket No.	210121.427C11

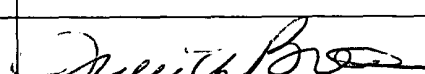
**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> General Authorization under 37 C.F.R. § 1.36(a)(3) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD(s), Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below): <u>2<sup>nd</sup> Request for Corrected Filing</u> <u>Receipt; Copy of Declaration;</u> <u>Return Receipt Postcard</u> _____ _____ _____
--	--	--

Remarks**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Individual Name		 <b>00500</b> PATENT TRADEMARK OFFICE
Signature		
Date	3/19/01	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.		
Typed or printed name	Judith A. Breaks	
Signature		Date: 3-19-01



THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

AUG 22 2001  
TECH CENTER 1600/2900

Applicants : Jiangchun Xu et al.  
Application No. : 09/483,672  
Filed : January 14, 2000  
For : COMPOSITIONS AND METHODS FOR THERAPY AND  
DIAGNOSIS OF PROSTATE CANCER

Art Unit : 1644  
Docket No. : 210121.427C11  
Date : March 16, 2001

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents:

Attached is a copy of the official Filing Receipt received from the PTO in the above-identified application, for which issuance of a corrected Filing Receipt is respectfully requested.

There are errors with respect to the following data, which is incorrectly entered or omitted.

There are several errors in the listing of the applicants' names, which should read as follows:

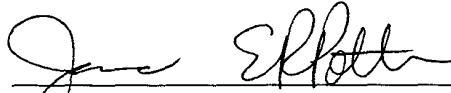
**SUSAN L. HARLOCKER  
YUQIU JIANG  
MICHAEL D. KALOS  
GARY R. FANGER  
JOHN A. STOLK**

The first three lines of the Continuing Data as Claimed by Applicant are incorrect.  
It should read as follows:

**THIS APPLICATION IS A CIP OF 09/443,686 11/18/1999  
WHICH IS A CIP OF 09/439,313 11/12/1999  
WHICH IS A CIP OF 09/352,616 07/13/1999  
(then continue as previously listed)**

The corrections to be made have been marked in red on the copy of the enclosed Filing Receipt. To substantiate this request, we have enclosed a copy of the Declaration filed in the application.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

A handwritten signature in black ink, appearing to read "Jane E. R. Potter", is written over a horizontal line.

Jane E. R. Potter  
Registration No. 33,332

JEP:jab

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

L:\210121 - Corixa\42711C11\Foms\2nd Filing Receipt Correction.doc

**CORRECTED**  
**FILING RECEIPT**



\*OC000000005236177\*



**RECEIVED**  
**TECH CENTER 1800/2900**  
AUG 22 2001  
**JEP**  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/483,672	01/14/2000	1644	3018	210121.42711C11	16	64	17

David J Maki  
Seed Intellectual Property Law Group PLLC  
Suite 6300  
701 Fifth Avenue  
Seattle, WA 98104-7092

**RECEIVED**

**JUL 18 2000**

SEED INTELLECTUAL PROPERTY  
LAW GROUP PLLC

Date Mailed: 07/12/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

- Jiangchun Xu, Bellevue, WA ;
- Davin C. Dillon, Issaquah, WA ;
- Jennifer L. Mitcham, Redmond, WA ;
- Susan L. Harlocker* ~~Susan Louise Harlocker~~, Seattle, WA ;
- Yuguin Jiang* ~~Jiang Yuqi~~, Kent, WA ;
- Steven G. Reed, Bellevue, WA ;
- Michael D. Kalos* ~~Michael Kalos~~, Seattle, WA ;
- Gary R. Fanger* ~~Gary H. Fanger~~, Mill Creek, WA ;
- John A. Stolk* ~~John A. Stolk~~, Bothell, WA ;
- Craig H. Day, Seattle, WA ;
- Yasir A. W. Skeiky, Seattle, WA ;
- Aijun Wang, Issaquah, WA ;
- Madeleine Joy Meagher, Seattle, WA ;

**Continuing Data as Claimed by Applicant**

THIS APPLICATION IS A CIP OF 09/443,686 11/18/1999  
WHICH IS A CIP OF 09/439,313 11/12/1999  
WHICH IS A CIP OF 09/352,616 07/13/1999  
WHICH IS A CIP OF 09/288,946 04/09/1999  
WHICH IS A CIP OF 09/232,149 01/15/1999  
WHICH IS A CIP OF 09/159,812 09/23/1998  
WHICH IS A CIP OF 09/115,453 07/14/1998  
WHICH IS A CIP OF 09/030,607 02/25/1998  
WHICH IS A CIP OF 09/020,956 02/09/1998  
WHICH IS A CIP OF 08/904,804 08/01/1997  
WHICH IS A CIP OF 08/806,099 02/25/1997



Foreign Application

**RECEIVED**

AUG 22 2001

TECH CENTER 1600/2900

If Required, Foreign Filing License Granted 04/13/2000

**Title**

Compositions and methods for therapy and diagnosis of prostate cancer

**Preliminary Class**

424

Data entry by : BELL, DOROTHY

Team : OIPE

Date: 07/12/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They

FILE COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 8685

<b>SERIAL NUMBER</b> 09/483,672	<b>FILING DATE</b> 01/14/2000 <b>RULE</b>	<b>CLASS</b> 424	<b>GROUP ART UNIT</b> 1631	<b>ATTORNEY DOCKET NO.</b> 210121.42711C11
------------------------------------	---	---------------------	-------------------------------	---

## APPLICANTS

Jiangchun Xu, Bellevue, WA;  
Davin C. Dillon, Issaquah, WA;  
Jennifer L. Mitcham, Redmond, WA;  
Susan L. Harlocker, Seattle, WA;  
Jiang Yuqiu, Kent, WA;  
Steven G. Reed, Bellevue, WA;  
Michael D. Kalos, Seattle, WA;  
Gary R. Fanger, Mill Creek, WA;  
Marc W. Retter, Carnation, WA;  
John A. Stolk, Bothell, WA;  
Craig H. Day, Seattle, WA;  
Yasir A. W. Skeiky, Seattle, WA;  
Aijun Wang, Issaquah, WA;  
Madeleine Joy Meagher, Seattle, WA;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A CIP OF 09/443,686 11/18/1999 ABN  
WHICH IS A CIP OF 09/439,313 11/12/1999  
WHICH IS A CIP OF 09/352,616 07/13/1999  
WHICH IS A CIP OF 09/288,946 04/09/1999  
WHICH IS A CIP OF 09/232,149 01/15/1999  
WHICH IS A CIP OF 09/159,812 09/23/1998  
WHICH IS A CIP OF 09/115,453 07/14/1998  
WHICH IS A CIP OF 09/030,607 02/25/1998 PAT 6,262,245  
WHICH IS A CIP OF 09/020,956 02/09/1998 PAT 6,261,562  
WHICH IS A CIP OF 08/904,804 08/01/1997 ABN  
WHICH IS A CIP OF 08/806,099 02/25/1997 ABN

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 04/13/2000

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY WA	SHEETS DRAWING 16	TOTAL CLAIMS 64	INDEPENDENT CLAIMS 17
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature: <i>[Signature]</i> Initials: <i>[Initials]</i>				

## ADDRESS

500

## TITLE

Compositions and methods for therapy and diagnosis of prostate cancer

**FILING FEE  
RECEIVED  
3018**

FEES: Authority has been given in Paper  
No. \_\_\_\_\_ to charge/credit DEPOSIT ACCOUNT  
No. \_\_\_\_\_ for following:

☐ All Fees

☐ 1.16 Fees ( Filing )

☐ 1.17 Fees ( Processing Ext. of  
time )

☐ 1.18 Fees ( Issue )

☐ Other \_\_\_\_\_

☐ Credit